

Our File: 0380-P02284US0

In re the Application of:

Linda Gillian Durrant et al.

Serial Number: 09/623.035

Filed: October 12, 2000

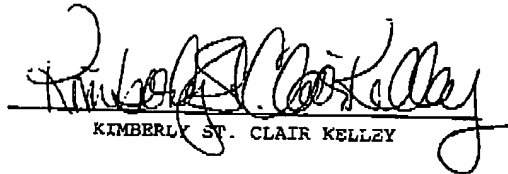
For: TUMOR ASSOCIATED ANTIGEN  
791Tgp72

The following papers have been received:

- Amendment and Request for Reconsideration Under 37 C.F.R. §1.111 with Petition for 3 Months Extension of Time and Certificate of Mailing (first page only in duplicate)
- Retroactive Payment of Non-Small Entity Fees Pursuant to 37 C.F.R. §1.28(c) with Certificate of Mailing and Authorization to Charge Deposit Account (first page only in duplicate)
- Check in the Amount of \$920.00 (ext fee)
- Check in the Amount of \$1,085.00 (retro fee)

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KIMBERLY ST. CLAIR KELLEY

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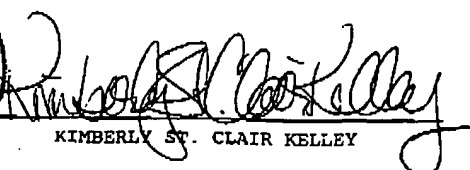
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KIMBERLY ST. CLAIR KELLEY

DANN DORFMAN HERRELL AND SKILLMAN  
A Professional Corporation  
1601 Market Street, Suite 720  
Philadelphia, PA 19103-2307

TELEPHONE  
(215) 563-4100

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DATE: January 28, 2003  
TO: Examiner Yu  
FACSIMILE NO.: 1-703-746-7647  
FROM: Patrick J. Hagan, Esq.  
RE: U.S. Application No. 09/623,035  
OPERATOR: Kimberly St. Clair Kelley  
TOTAL PAGES: 24 (including this cover sheet)

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MESSAGE:

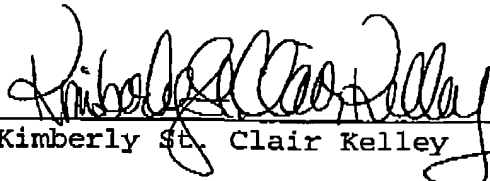
## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Linda Gillian Durrant et al.  
 Serial No. : 09/623,035  
 Filing Date : October 12, 2000  
 Examiner : Natalie A. Davis, Ph.D.  
 Group Art Unit : 1642  
 Entitled : TUMOR ASSOCIATED ANTIGEN  
 791Tgp72

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 Suite 720  
 1601 Market Street  
 Philadelphia, PA 19103  
 (215) 563-4100 (telephone)  
 (215) 563-4044 (facsimile)  
 Our File No. 0380-P02284USO  
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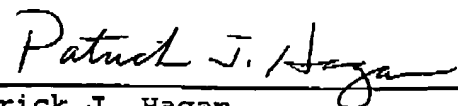
Certificate of Mailing Under 37 C.F.R. §1.8(a):

I hereby certify that this correspondence is being deposited on December 18, 2002 with the United States Postal Service as first-class mail in an envelope properly addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
 \_\_\_\_\_  
 Kimberly St. Clair Kelley

Petition for Extension of Time Under 37 C.F.R. §1.136(a):

The undersigned hereby petitions for an extension of time of 3 months beyond the time period set in the last Office Action. A check in the amount of \$920.00 to cover this fee is enclosed. Please charge any deficiency or credit any overpayment to Deposit Account No. 04-1406.

  
 \_\_\_\_\_  
 Patrick J. Hagan  
 Attorney for Applicant(s)  
 Registration No. 27,643

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**RETROACTIVE PAYMENT OF NON-SMALL ENTITY FEES  
PURSUANT TO 37 C.F.R. §1.28(c)**

Dear Sir:

Applicants in the above-identified patent application hereby request, and, if necessary, petition that the U.S. Patent and Trademark Office accept the enclosed retroactive payments of large entity fees pursuant to C.F.R. §1.28(c).

A Petition for Refund of Excess Fees (hereinafter "Refund Petition") was filed October 12, 2000, requesting a refund of one-half of the filing fee of \$1,150.00. The Refund Petition was accompanied by a Verified Statement Claiming Small Entity Status-Non-Profit Organization, executed by the applicants' assignee, and a Verified Statement Claiming Small Entity Status-Small Business Concern, executed by the assignee's licensee.

Upon information and belief, the establishment of small entity status in this application was done in good faith and the small entity fees were paid in good faith. However, due to a misunderstanding about the requirements for establishing small entity status before the U.S. Patent and Trademark Office, the applicants, who are both citizens of Great Britain, now believe that small entity status was established in error in this application.

In order to correct the above-mentioned error pursuant to 37 C.F.R. §1.28(c), Applicant is making the required payment

of the deficiency between the filing fee previously paid under the claim of small entity status and the amount that would have been presently due based on a claim of large entity status. The total deficiency of \$1,085.00 is submitted herewith in full. Applicant calculates the deficiency in the fees as follows:

<u>DATE/ FEE TYPE</u>	<u>AMOUNT OF PRESENT FEE</u>	<u>AMOUNT PAID PAID FEE</u>	<u>DIFFERENCE BETWEEN PRESENT AND PAID FEES</u>
10-12-00 Filing Fee	\$1,200.00	\$ 575.00	\$ 625.00
04-02-02 Extension Fee	\$ 920.00	\$ 460.00	\$ 460.00

Acceptance of the fees submitted herewith to rectify the erroneous claim of small entity status is respectfully requested. If any additional fees are due beyond the enclosed payment, the Patent and Trademark Office is authorized to charge any such fees to the above-identified Deposit Account.

DANN DORFMAN HERRELL and  
SKILLMAN, P.C.

Attorneys for Applicant

By Patrick J. Hagan  
Patrick J. Hagan  
Registration No. 27,643

PJH:ksk

Enclosure: Check in the Amount of \$1,085.00